SYM
FINANCIAL ADVISORS

Uncommon Relationships.
Uncommon Results.

SYM started with a simple premise.

It was to give clients access to unbiased financial advice.

In 1968, a group of entrepreneurs with limited means came together to create something different. Drawing inspiration from the prefix “**sym**,” which means “**together**,” they pledged their houses, assets and families to support a growing company that would provide holistic solutions to financial problems.

SYM FINANCIAL ADVISORS

Our History Since 1968

1968 Firm inception in Warsaw, IN

1979 First 401(k) document filed

1991 Lauched fee-for-service model aligning client interests

2000 Opened Indianapolis & South Bend offices

2002 Formed specialized financial planning divisions

2005 Welcomed our 500th client

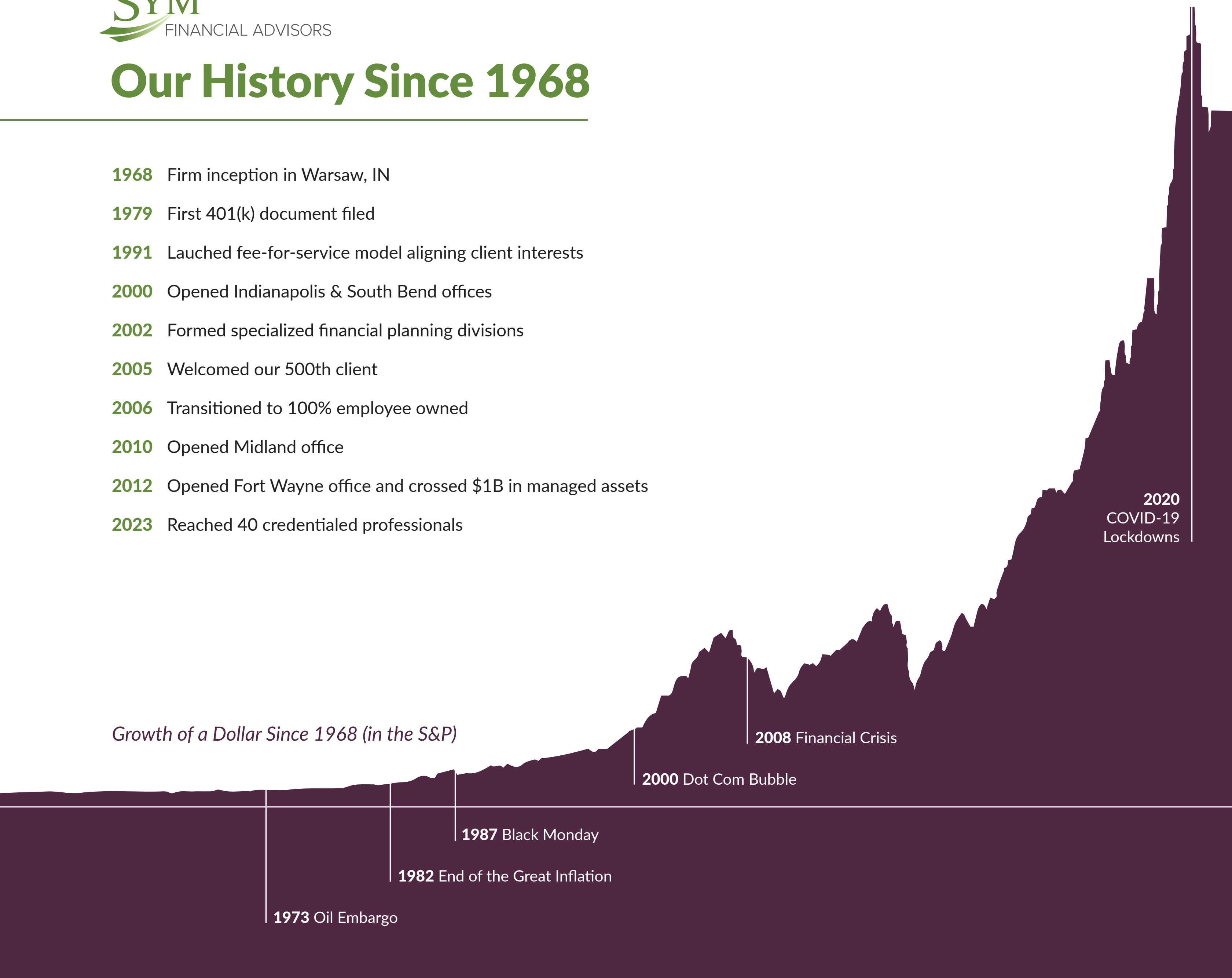
2006 Transitioned to 100% employee owned

2010 Opened Midland office

2012 Opened Fort Wayne office and crossed $1B in managed assets

2023 Reached 40 credentialed professionals

Growth of a Dollar Since 1968 (in the S&P)



It's All About People

Uncommon relationships.

We've been told our way of doing business is uncommon and we embrace it! Tapping into a deep bench of skill sets at SYM, we build personalized financial plans that come from an uncommon understanding of your unique complexities. That means knowing how the financial landscape relates to your personality, family, work life, personal aspirations, and retirement goals.

Uncommon results.

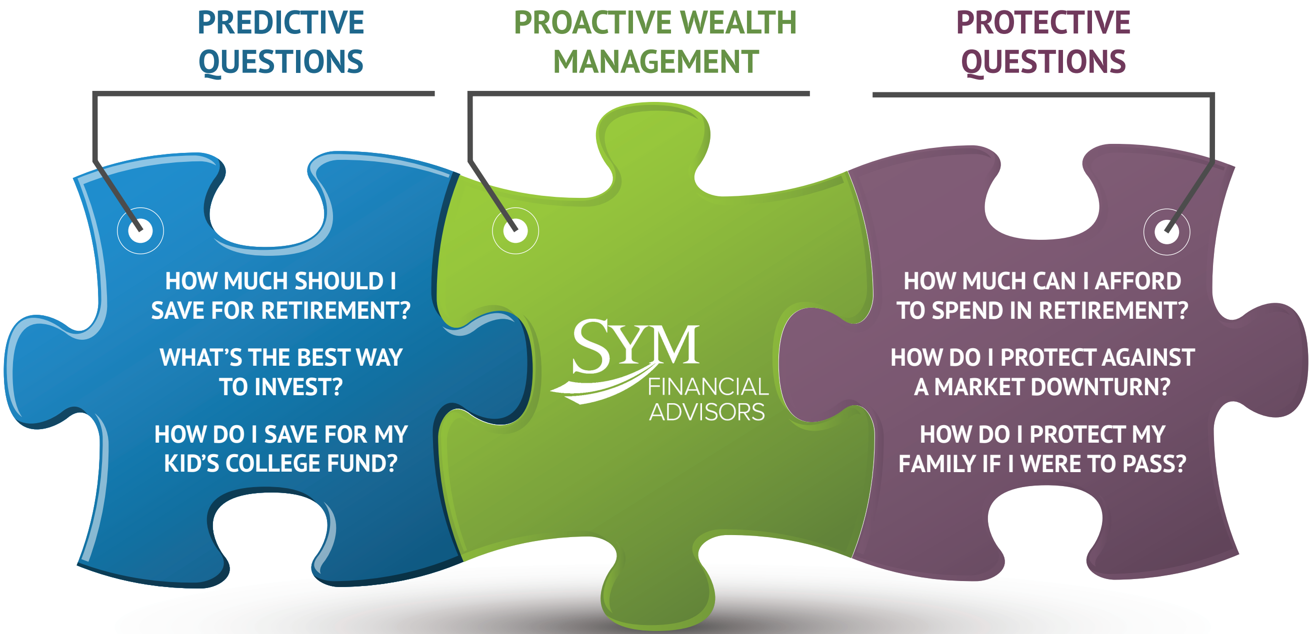
We believe the fiduciary business model produces the best results because it puts clients' financial well-being **first**. You can expect to be asked the right questions and given unbiased advice. And we remain committed to fee transparency, and absolutely no hidden commissions.

As of 12-31-2022. Client retention rate is on a 5-year basis

Explore Life's Important Questions with SYM

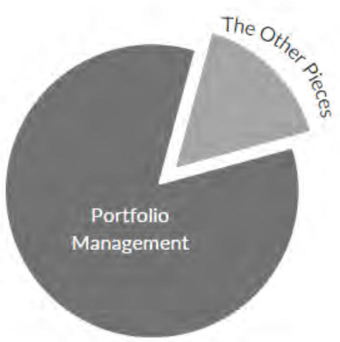
Navigating transitions like retirement, a career change and the loss of a loved one are inevitable parts of life. These transitions raise questions and require critical decisions that have long-lasting financial consequences.

At SYM, we've broken these questions down into two categories: **predictive** and **protective**. Your ability to acquire and build wealth depends on the answers to predictive questions. How you use and maintain your wealth depends on the answers to protective questions. With over 55 years of experience, SYM has the care and confidence to assist our clients with these vital questions.

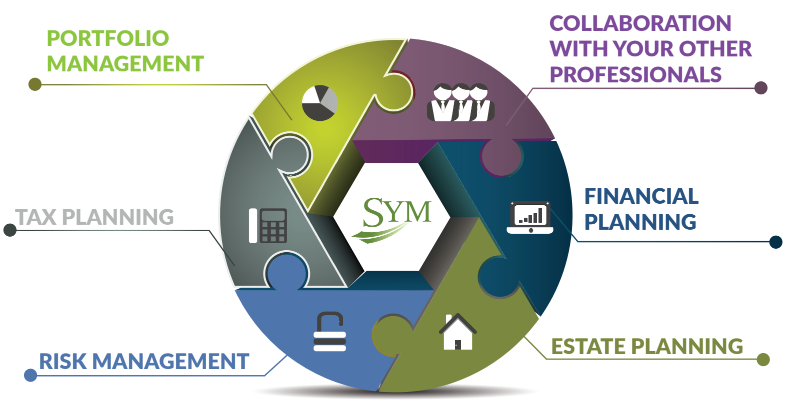


The Simple Process vs. The SYM Process

A Common Approach



The SYM Difference

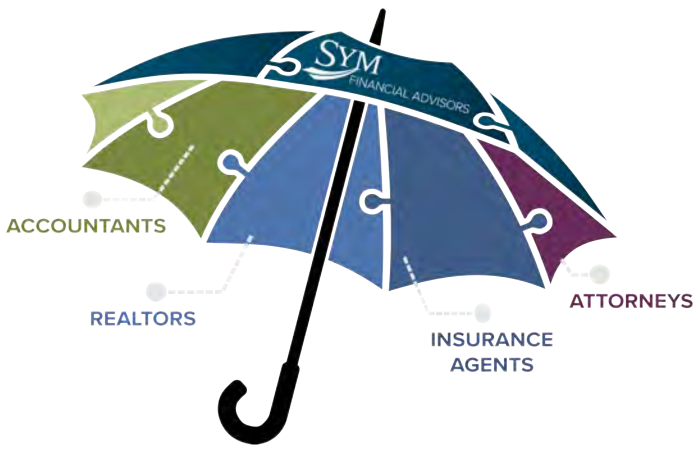


SYM's Fiduciary Pledge to You

The SYM Fiduciary Pledge is a commitment to safeguard your financial interests. As fiduciaries, we take seriously this responsibility to put your interests before our own. It's more than a legal obligation to us.

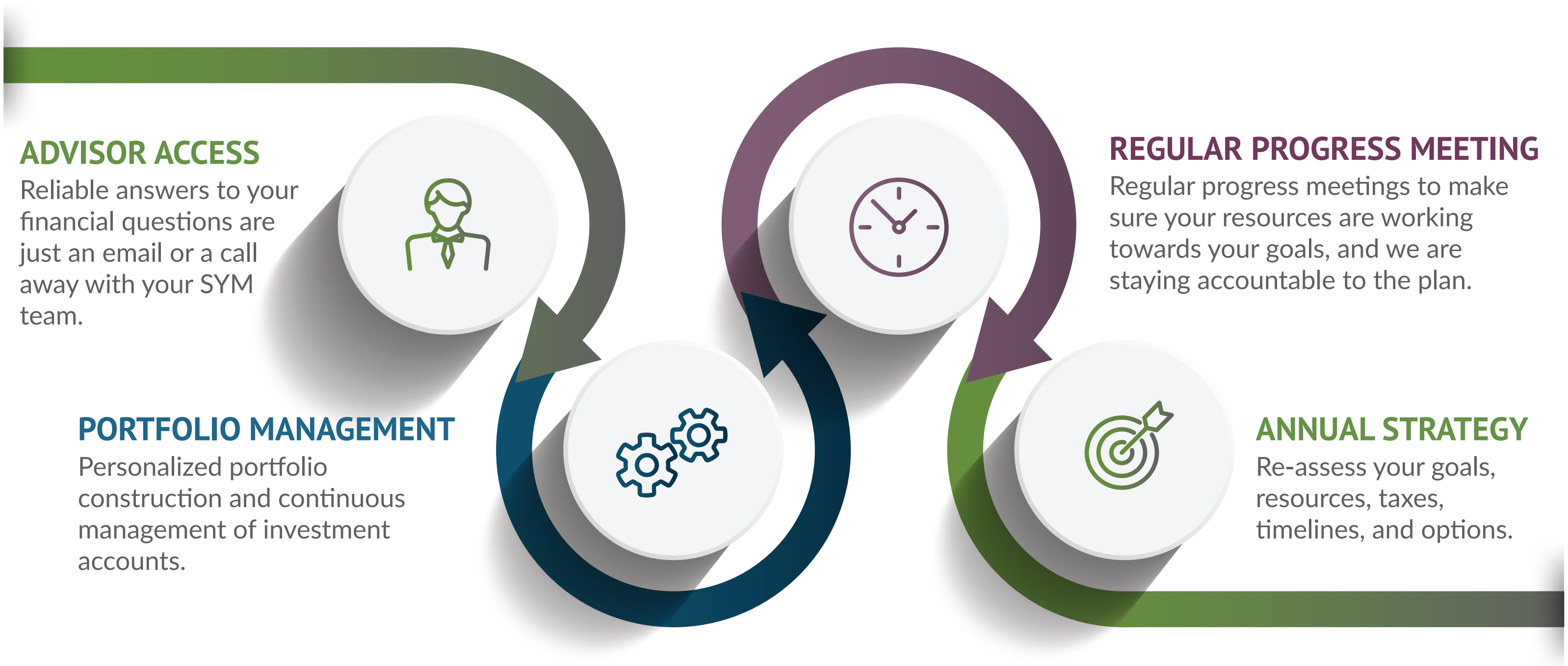
- Honor the legal obligation of a fiduciary by prioritizing clients' interests above all else.
- Provide holistic financial planning services that address all aspects of a client's financial life.
- Offer advice that is fee-only, free from potential conflicts of interest.
- Maintain independence from banks, broker dealers, insurance companies, and custodians.
- Refrain from accepting any form of commission, to ensure unbiased advice.
- Provide full transparency on investment portfolios and holdings.
- Rely solely on client fees as our source of revenue.
- Asset custody is separate from investment selection and advice.
- Maintain strict confidentiality of our clients' financial matters and data.

The SYM Team and Process



Working Together for Results

Things start looking up with SYM working for you. Your financial life becomes more organized and intentional. We actively monitor your asset allocation and location, rebalancing when appropriate for tax savings and overall health of your investment portfolio. We collaborate with other professionals on your behalf and do the legwork to align the important parts of your financial picture to meet your needs today and in the future.



ADVISOR ACCESS

Reliable answers to your financial questions are just an email or a call away with your SYM team.

REGULAR PROGRESS MEETING

Regular progress meetings to make sure your resources are working towards your goals, and we are staying accountable to the plan.

PORTFOLIO MANAGEMENT

Personalized portfolio construction and continuous management of investment accounts.

ANNUAL STRATEGY

Re-assess your goals, resources, taxes, timelines, and options.

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SYM Services

- FINANCIAL PLANNING
- ESTATE PLANNING
- CASH FLOW PLANNING
- TAX LOSS HARVESTING
- TAX PLANNING
- PORTFOLIO MANAGEMENT
- INSURANCE & RISK ASSESSMENT
- RETIREMENT READINESS ASSESSMENT
- NON-PROFIT INVESTMENT CONSULTING
- STRATEGIES FOR MEDICAL PROFESSIONALS
- RETIREMENT PLAN IMPLEMENTATION
- RETIREMENT PLAN SELECTION
- STOCK OPTION ANALYSIS
- EQUITY-BASED COMPENSATION STRATEGIES
- ULTRA-HIGH NET WORTH STRATEGIES
- BUSINESS SUCCESSION PLANNING
- TRUST SERVICES

SYM FINANCIAL ADVISORS

Time has a way of shifting even the best-laid plans, and over the years your financial needs will inevitably change. As life events and stages demand financial adjustments, we stay on task to help with the tricky decisions. SYM's mission is to proactively attend to your financial realities, allowing you to focus on relationships and the living of your life.

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